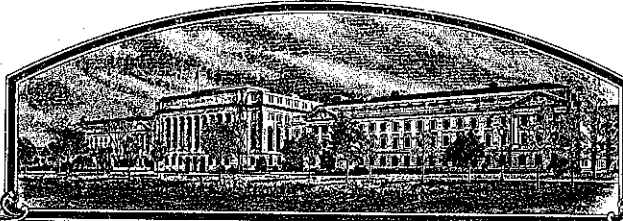


No.

9500006



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Genecorp, Inc.

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

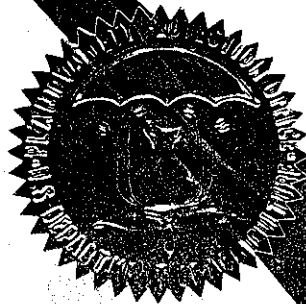
NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. IN THE UNITED STATES SEED OF THIS VARIETY (1) SHALL BE IDENTIFIED BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED AND (2) SHALL CONFORM TO THE NUMBER OF SEEDS SPECIFIED BY THE OWNER OF THE RIGHTS. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'HT381 STS'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this thirtieth day of June in the year of our Lord one thousand nine hundred and ninety seven.

Attest:



Martha A. Hunter
Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Richard
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) GENECORP, INC.		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO. 89Y-149-16	3. VARIETY NAME HT381 STS
4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP) 205 N Michigan Oxford, IN 47971		5. PHONE (Include area code) 317-385-2201	FOR OFFICIAL USE ONLY PVPO NUMBER 9500006 Filing and Examination Fee: \$ 2,325 Date Oct. 13, 1994 Certificate Fee: \$ 300.00 Date May 23, 1997
6. GENUS AND SPECIES NAME Glycine max	7. FAMILY NAME (Botanical) Leguminosae		
8. CROP KIND NAME (Common Name) Soybean	9. DATE OF DETERMINATION October 1989		
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) Corporation			
11. IF INCORPORATED, GIVE STATE OF INCORPORATION California		12. DATE OF INCORPORATION March 16, 1982	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Alan K. Walker Ph: 608-755-1777 Valarie Oostindie 5926 E US HWY 14 205 N Michigan Janesville, WI 53546-8655 Oxford, IN 47971			

PHONE (Include area code):

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)

a. ☒ Exhibit A, Origin and Breeding History of the Variety.

b. ☒ Exhibit B, Novelty Statement.

c. ☒ Exhibit C, Objective Description of Variety.

d. ☒ Exhibit D, Additional Description of Variety.

e. ☒ Exhibit E, Statement of the Basis of Applicant's Ownership.

f. ☒ Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office _____

g. ☒ Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States."

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act.)
☒ YES (If "YES," answer items 16 and 17 below) ☐ NO (If "NO," skip to item 18 below)

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?
☐ YES ☒ NO

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?
☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.?
☐ YES (If "YES," through ☐ Plant Variety Protection Act ☐ Patent Act. Give date: _____)
☒ NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES?
☐ YES (If "YES," give names of countries and dates)
☒ NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.

The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.

Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT [Owner(s)] Alan K. Walker	CAPACITY OR TITLE Director	DATE 7-15-94
SIGNATURE OF APPLICANT [Owner(s)] Valarie A. Oostindie	CAPACITY OR TITLE Administrator	DATE 7-22-94

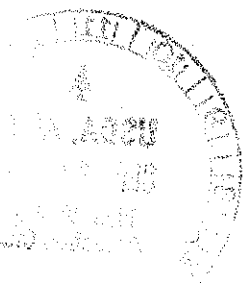
GENECORP, INC.
PVP APPLICATION HT381 STS SOYBEAN
JULY 1994

EXHIBIT A
ORIGIN AND BREEDING HISTORY OF HT381 STS

1987	<p>Cross was made in December 1987 in a winter nursery.</p> <p>Parentage: A3322 * (A3205 * W20) W20 = Mutant of Williams with Als1 gene for tolerance to sulfonylurea herbicides.</p>
1988-1989	<p>Grew F₁, F₂, and F₃ generations in winter nursery. F₂ and F₃ plants were sprayed with a sulfonylurea herbicide and tolerant plants were advanced via modified single seed descent. Grew bulk F₃ population from which individual plants were harvested and thrashed.</p>
1989	<p>F₃ derived F₄ lines were evaluated in progeny rows in the midwest. Line 89Y-149-16 was harvested in bulk and selected for advancement. Seeds were checked for uniform hila color and seed coat luster.</p> <p>89Y-149-16 was determined to be a stable line in October of 1989.</p>
1990	<p>Yield tested at three locations.</p>
1991	<p>Yield tested at fifteen locations.</p> <p>Presence of the dominant allele, Als1, which confers tolerance to sulfonylurea herbicides was confirmed via the "seed soak screen" as outlined by Sebastian, et al. (Crop Science 29:1403-1408.</p>
1992	<p>Yield tested at fourteen locations.</p> <p>Five pounds of seed were rolled for uniform hila type and planted as breeder seed.</p>
	<p>Yield tested at twenty-one locations.</p> <p>Four acres of basic seed was grown in the midwest.</p> <p>89Y149-16 was nominated for release and assigned the designation HT381 STS.</p>



1994	<p>Yield tested at nine locations.</p> <p>Commercial seed is being grown for the first time sales.</p> <p>Yield trials from 1990 to 1993 and seed production in 1992 and 1993 indicates that HT381 STS is uniform and stable. As with other soybean varieties, variants can occur for almost any character during the course of repeated sexual reproduction.</p>
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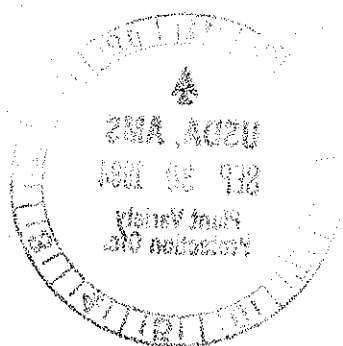


GENECORP, INC.
PVP APPLICATION HT381 STS SOYBEAN
JULY 1994

EXHIBIT B
NOVELTY STATEMENT CONCERNING HT381 STS

To our knowledge, the soybean variety that most closely resembles HT381 STS is A4045.

	<u>HT381 STS</u>	<u>A4045</u>
1. Flower Color	Purple	Purple
2. Pubescence Color	Tawny	Tawny
3. Pod Wall Color	Brown	Brown
4. Hilum Color	Black	Black
5. Peroxidase	High	High
6. Electrophoretic Band	B	A
7. Als1	Present	Present
8. Phytophthora Gene	rps	rps



U.S. DEPARTMENT OF AGRICULTURE
 AGRICULTURAL MARKETING SERVICE
 LIVESTOCK, MEAT, GRAIN & SEED DIVISION
 PLANT VARIETY PROTECTION OFFICE
 BELTSVILLE, MARYLAND 20705

EXHIBIT C
 (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY
 SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) GENECORP, INC.	TEMPORARY DESIGNATION 89Y149-16	VARIETY NAME HT381 STS
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 205 N Michigan Oxford, IN 47971		FOR OFFICIAL USE ONLY PVPO NUMBER 9500006

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
 4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) _____

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1^a)2 = Type B (SP1^b)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) _____

5

11. LEAFLET SIZE:

☐ 21 = Small ('Amsoy 71'; 'A5312')
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

☐ 31 = Light Green ('Weber'; 'York')
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

★ 13. FLOWER COLOR:

☐ 2

1 = White

2 = Purple

3 = White with purple throat

★ 14. POD COLOR:

☐ 2

1 = Tan

2 = Brown

3 = Black

★ 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

16. PLANT TYPES:

☐ 31 = Slender ('Essex'; 'Amsoy 71')
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

★ 17. PLANT HABIT:

☐ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ 18. MATURITY GROUP:

☐ 0

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★

☐ 0Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★

☐ 0Bacterial Blight (*Pseudomonas glycinea*)

★

☐ 0Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★

☐ 0Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)

★

☐ 0

Race 1

☐ 0

Race 2

☐ 0

Race 3

☐ 0

Race 4

☐ 0

Race 5

☐

Other (Specify)

☐ 0Target Spot (*Corynespora cassiicola*)☐ 0Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 2Powdery Mildew (*Microsphaera diffusa*)

★

☐ 1Brown Stem Rot (*Cephalosporium gregatum*)☐ 0Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

- ★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 0 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 1 Race 1 ☐ 1 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ 1 Race 5 ☐ 0 Race 6 ☐ 1 Race 7
- ☐ 1 Race 8 ☐ 1 Race 9 ☐ Other (Specify) _____

VIRAL DISEASES:

- ☐ 0 Bud Blight (Tobacco Ringspot Virus)
- ☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 0 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 0 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 1 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ Other (Specify) _____
- ☐ 0 Lance Nematode (*Haploclaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ 0 OTHER DISEASE NOT ON FORM (Specify): _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 1 Iron Chlorosis on Calcareous Soil
- ☐ Other (Specify) _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ 0 Other (Specify) _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

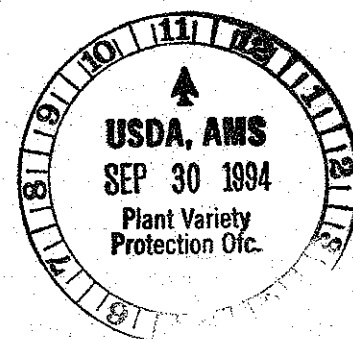
CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	A4045	Seed Coat Luster	A4045
Leaf Shape	A4045	Seed Size	A4045
Leaf Color	A4045	Seed Shape	A4045
Leaf Size	A4045	Seedling Pigmentation	A4045

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/ POD
				CM Width	CM Length	% Protein	% Oil		
Submitted HT381 STS	142	2.3	98	9	13	40.8	20.0	16	2.6
Name of Similar Variety A4045	144	2.2	94	9	13	40.1	20.9	16	2.6

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A₂ in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.



GENECORP, INC.
PVP APPLICATION HT381 STS SOYBEAN
JULY 1994

EXHIBIT D
ADDITIONAL DESCRIPTION OF THE VARIETY

HT381 STS is a late maturity group III variety. It is a STS™ variety with the Als1 gene conferring tolerance to sulfonylurea herbicides. HT381 STS is a purple flowered tawny pubescent, black hila variety with brown pod walls, dull seed coat luster, ovate leaf shape, indeterminate plant type, high peroxidase activity, and type B seed protein electrophoretic band.



GENECORP, INC.
PVP APPLICATION HT381 STS
JULY 1994

EXHIBIT E
STATEMENT OF BASIS OF APPLICANT OWNERSHIP

HT381 STS was originated and developed under the direction of Alan K. Walker. By agreement between Genecorp, Inc., all rights to any invention, discovery or development made by employees are assigned to the company. No rights of such invention, discovery or development are retained by the employee.

